

201 Business Finance And Capital Market and Financial Services

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2	Strategic Financial Planning	2.1 Meaning - objectives, assumptions 2.2 Steps in financial planning 2.3 Estimating financial requirements of firm - limitations of financial planning 2.4 Capitalization - over capitalization, undercapitalization 2.5 Theories of capitalization 2.6 Estimating financial needs and Sources of finance.
3	Corporate Securities and Sources of Long term Finance:	3.1 Ownership securities - equity shares: characteristics, advantage and disadvantages, preference shares: characteristics, advantage and disadvantages, Companies Act (Amendment) 2013 3.2 Creditor's securities- debentures: characteristics, classification, procedure of issuing debentures and Bonds. 3.3 Company Deposit 3.4 The dividend decision: Background of dividend policy, Theories of dividend, Trend in dividend distribution in India, Measures of dividend policy, dividend yield and dividend Payout
4	Short Term Finance and Working Capital Management	4.1 Characteristics of short term finance – short term needs, 4.2 sources of short term finance, role of working capital, best management practices of working capital 4.3 Financing of working capital – trade creditors, bank credit, bank financing of account receivables, working capital - advantages and disadvantages.
5	Capital market	5.1 Meaning, Functions, Structure, Characteristics of Capital Market 5.2 Participants of capital market - Capital market instruments, Equity share, Preferences shares, Debenture, Bonds, 5.3 Innovative debt instruments: Junk bonds, Naked bonds, Deep discount bonds, Forward contracts, Futures contract - Options contract, 5.4 Trends in capital market in India.
6	Stock Market	6.1 Stock exchange: organization-membership-governing body - Bombay stock exchange and National Stock Exchange: functions, trading volumes, 6.2 Over the Counter Exchange of India (OTCEI): Progress and Functions. 6.3 Primary market – Functions of primary market - issue mechanism - Procedure of IPO, Price Band, Participants, Prospectus, 6.4 Listing of Securitas: procedure, annual return filing to stock exchange by share listed companies 6.5 Secondary market : Objectives, functions of secondary markets, stock broking, e-broking, depository system-functions and benefits stock market trading, Index, SENSEX, NIFTY, Intraday Trading, Delivery Trading, - Derivatives trading

7	Financial Services	<p>7.1 Merchant banking and underwriting, collecting bankers : meaning, functions ,and services rendered,</p> <p>7.2 Mutual funds: Meaning, functions-Types-Open and closed ended funds-income funds balanced fund, growth fund-index fund, Portfolio management: meaning and services</p> <p>7.3 Credit rating: meaning and need, various credit rating agencies in India, Foreign institutional investment (FII), Foreign Direct Investment (FDI), Hedge funds, Offshore funds</p>
8	Securities and Exchange Board of India (SEBI)	<p>8.1 Background, Establishment, functions, powers, achievements and Regulatory aspects, recent changes & emerging trends.</p> <p>8.2 Investors education and redressal mechanism by SEBI</p> <p>8.3 SEBI approved Financial adviser: Role and Functions.</p>